

01/08/02 1698 U.S. PTO

1003354-040202

#2a  
P. Amelt  
N. Murphy  
6-1902

CONTINUING APPLICATION TRANSMITTAL UNDER RULE 1.53(b)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

16971 U.S. PTO  
10/038661  
01/08/02

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Docket No. 100725-00068  
Date: January 8, 2002

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a

- ☐ Continuation ☒ Divisional ☐ Continuation-in-Part

application of prior pending Application No. 09/504,718, filed February 16, 2000.

For (Title): SINTERED OIL RETAINING BEARING AND METHOD OF PRODUCING THE  
SAME AND SPINDLE MOTOR FOR INFORMATION EQUIPMENT

By (Inventors): Natsuhiko MORI, Kazuo OKAMURA and Makoto SHIRANAMI

1. ☒ A Declaration and Power of Attorney is attached. The attached Declaration and Power of Attorney is:
- ☒ a. A copy of the Declaration and Power of Attorney from the parent application. (Used with the same or fewer inventors and (a) a copy of the prior application or (b) a revised, reformatted or edited version of the prior application that does not contain new matter.)
- ☐ b. A new Declaration and Power of Attorney. (Used with the same, fewer or additional inventors and (a) a copy of the prior application, (b) a revised, reformatted or edited version of the prior application that does not contain new matter, or (c) a new specification.)
2. ☒ The filing fee based on entry of the concurrently filed Preliminary Amendment is calculated below.

CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED BELOW

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	1 - 20	= 0
INDEP CLAIMS	1 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

SMALL ENTITY	
RATE	FEE
	\$ 370
x 9 =	\$
x 42 =	\$
+140 =	\$
TOTAL	\$

OR  
OR  
OR  
OR  
OR  
OR

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$ 740
x 18	\$
x 84	\$
+280	\$
TOTAL	\$ 740

3. ☒ A Check in the amount of \$740.00 to cover the filing fee is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 01-2300, referencing docket number 100725-00068.

4. ☒ Cancel claims 1-9 of the application before calculating the filing fee. At least one independent claim is retained for filing purposes.

5. ☒ Amend the specification by inserting before the first line the sentence:

*al* --This is a ☐ Continuation ☒ Division ☐ Continuation-in-Part of Application No. 09/504,718 filed February 16, 2000. The disclosure of the prior application is hereby incorporated by reference herein in its entirety.--

6. ☒ Formal drawings (Figs. 1-4) are attached.

7. ☒ Priority of foreign application No. 11-046642 filed February 24, 1999 in Japan is claimed under 35 U.S.C. §119 and/or §365(b).

8. ☒ The certified copy was filed in prior Application No. 09/504,718 on February 16, 2000.

9. ☐ A certified copy of the above foreign application(s) is attached.

10. ☐ Priority of U.S. Provisional Application(s) No. \_\_\_\_\_ filed \_\_\_\_\_ is claimed under 35 U.S.C. §119(e).

11. ☐ Amend the specification by inserting before the first line the sentence:

--This nonprovisional application claims the benefit of U.S. Provisional Application(s) No. \_\_\_\_\_ filed \_\_\_\_\_--

12. ☒ The prior application is assigned of record to NTN CORPORATION recorded at Reel 010782, Frame 0291 on February 16, 2000.

13. ☐ This application is filed by fewer than all the inventors named in the prior application (37 C.F.R §1.53(b)(1)). Delete the following inventor(s) named in the prior application:

14. ☐ A Preliminary Amendment is attached.

15. ☒ An Information Disclosure Statement is attached along with Form PTO-1449. The references were cited by or submitted to the Office in parent application No. 09/504,718 filed February 16, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 CFR § 1.98(d).

16. ☐ Small entity status:

☐ a. A small entity statement is attached.

☐ b. A small entity statement was filed in the parent application and such status is still proper and desired.

☐ c. Small entity status is no longer claimed.

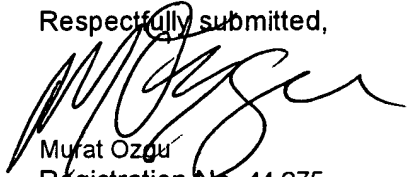
17. ☐ Other: \_\_\_\_\_

18. ☒ The Power of Attorney in the application is to Robert B. Murray, Reg. No. 22,980; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Richard J. Berman, Reg. No. 39,107; Murat Ozgu, Reg. No. 44,275; Robert K. Carpenter, Reg. No. 34,794; Rustan Hill, Reg. No. 37,351; Hans J. Crosby, Reg. No. 44,634; Brian A. Tollefson, Reg. No. 46,338; David D. Dzara, Reg. No. 47,543; Lynne D. Anderson, Reg. No. 46,412; Michael A. Steinberg, Reg. No. 43,160 and Lynn A. Bristol, Reg. No. 48,898.

19. ☒ Address all future communications to:

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Respectfully submitted,



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